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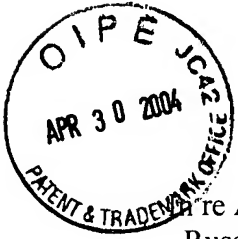
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PATENT APPLICATION - 10/757,005
Atty. Dkt. No. 1001.18

DEPSREP
Room-307

STATUS AND LITIGATION
OFFICIAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 MAY -4 PM 4:46

Re Application of:
Russell Earl Morris

Serial No.: 10/757,005

Confirmation No.: 3297

Filed: January 14, 2004

For: Method For Interchangeably
Promoting A Business On A Hat

Group Art Unit: Unknown

Examiner: Unknown

Mail Stop Petition
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING
37 CFR 1.8

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

4/28/04
Date

Christopher H. ...
Signature

Dear Honorable Commissioner:

06/08/2004 GWORDDF1 00000063 501313 10757005

01 FC:1460 130.00 DA

PETITION FOR FILING DATE

Applicants hereby petition the filing date of application number 10/757,005 pursuant to 37 C.F.R. 1.53(e)(2) to be the date of depositing the application with the United States Postal Service Express Mail compiling with the procedure of 37 C.F.R. §1.10 which is January 14, 2004, as shown as Attachment A. The petition includes a true copy of the "Express Mail" mailing label showing the "date-in" as attachment A and of any other official notation by the USPS relied upon to show the date of deposit.

Pursuant to 37 C.F.R 1.53 the application number 10/757,005 contained drawings when filed, the post card returned from the USPTO upon receipt of the application states that four (4) pages of drawings were included in the application, a copy of the post card is shown as Attachment B. The transmittal letter also accompanying the filing of the application states on page 1 that the application contains FIGS 1, 2, 3, and 4 on four

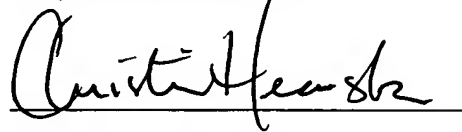
sheets of drawings were included with the filing of the application as shown as Attachment C.

ENTRY - 11/15/17

The Commissioner is hereby authorized to charge counsel's Deposit Account No. 50-1313, in the amount of \$130.00 and any other deficiencies for this Petition under 37 C.F.R. 1.17(h). Applicant claims small entity status under 37 C.F.R. § 1.27.

Applicant hereby requests a refund of the Petition fee for this petition.

Respectfully submitted,



Christian N. Heausler
Registration No. 50,771

Please send Correspondence to:

Buskop Law Group, P.C.
1717 St. James Street
Suite 500
Houston, Texas 77056

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&
Related Matters

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Writer's Direct Dial:
713.403.7410

April 28, 2004

File No. 1001.18

CERTIFICATE OF MAILING 37 CFR 1.8	
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4/28/04 Date	 Signature

MAIL STOP PETITION
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 10/757,005;*
Entitled: "Method for Interchangeably Promoting a Business on a Hat"
Inventor: Russell Earl Morris.

Sirs:

Enclosed for filing in the above-mentioned application is:

- (1) A Petition and Response to Notice of Incomplete NonProvisional Application dated April 28, 2004, including a request for refund of the \$130.00 petition fee;
- (2) A copy of the Notice of Incomplete NonProvisional Application dated April 21, 2004;
- (3) A copy of the Express Mail Label (Express Mail Label No. ET 949 474 791 US) as Attachment A;
- (4) A copy of the date stamped postcard received from the U.S. Patent and Trademark Office evidencing receipt of FIGS. 1, 2, 3 and 4 on four sheets by the USPTO as Attachment B;

BUSKOP LAW GROUP, P.C.

Petition and Response for Incomplete Application
Application Serial No. 10/757,005

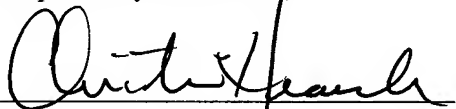
STATES AND DISTRICT COURT
APRIL 28, 2004
Transmittal – Page 2 of 2

2004 MAY -4 PM 4:47

- (5) A copy of the application transmittal letter showing the submission of FIGS. 1, 2, 3 and 4 on four sheets along with the other application papers filed on January 14, 2004 as Attachment C;
- (6) An additional set of informal drawings including FIGS. 1, 2, 3 and 4 on 4 sheets; and
- (7) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

The Commissioner is hereby authorized to charge the \$130.00 petition fee as well as any other deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed. Applicant respectfully requests a refund of the \$130.00 petition fee if it is determined that FIGS. 1, 2, 3 and 4 were in fact received with the application papers on January 14, 2004 by the Office as stated in the Notice.

Respectfully submitted,



Christian N. Heausler
Patent Attorney
Reg. No. 50,771

PATENT ATTORNEYS
WENDY K. B. BUSKOP
CHRISTIAN N. HEAUSLER



TRADEMARK ATTORNEY
SUNISHA S. CHOKSI

EXECUTIVE DIRECTOR
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&
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Fax: (713) 960-0204

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STATUS AND LITIGATION PARALEGALS
BRANCH GERTRUD W. BARKER
SARAH A. GERNHART

ETIMM -4 PM 4:47

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ARLINGTON, VA 22202

Writer's Direct Dial:
713.403.7410

April 28, 2004

File No. 1001.18

CERTIFICATE OF MAILING 37 CFR 1.8	
I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.	
4/28/04 Date	<i>Christian Heausler</i> Signature

MAIL STOP PETITION
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

RE: *U.S. Patent Application Serial No. 10/757,005;*
Entitled: "Method for Interchangeably Promoting a Business on a Hat"
Inventor: Russell Earl Morris.

Sirs:

Enclosed for filing in the above-mentioned application is:

- (1) A Petition and Response to Notice of Incomplete NonProvisional Application dated April 28, 2004, including a request for refund of the \$130.00 petition fee;
- (2) A copy of the Notice of Incomplete NonProvisional Application dated April 21, 2004;
- (3) A copy of the Express Mail Label (Express Mail Label No. ET 949 474 791 US) as Attachment A;
- (4) A copy of the date stamped postcard received from the U.S. Patent and Trademark Office evidencing receipt of FIGS. 1, 2, 3 and 4 on four sheets by the USPTO as Attachment B;

BUSKOP LAW GROUP, P.C.

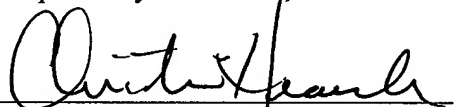
Petition and Response for Incomplete Application
Application Serial No. 10/757,005

STATUS AND ENTRY
BRAND April 28, 2004
Transmittal - Page 2 of 2
2004 MAY -4 PM 4:57

- (5) A copy of the application transmittal letter showing the submission of FIGS. 1, 2, 3 and 4 on four sheets along with the other application papers filed on January 14, 2004 as Attachment C;
- (6) An additional set of informal drawings including FIGS. 1, 2, 3 and 4 on 4 sheets; and
- (7) A postcard. Please date stamp and return the enclosed postcard to evidence receipt of these materials.

The Commissioner is hereby authorized to charge the \$130.00 petition fee as well as any other deficiencies to Deposit Account No 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is enclosed. Applicant respectfully requests a refund of the \$130.00 petition fee if it is determined that FIGS. 1, 2, 3 and 4 were in fact received with the application papers on January 14, 2004 by the Office as stated in the Notice.

Respectfully submitted;



Christian N. Heausler
Patent Attorney
Reg. No. 50,771

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ZIP + 4 <table border="1"><tr><td>2</td><td>2</td><td>3</td><td>1</td><td>3</td><td>+</td><td>1</td><td>4</td><td>5</td><td>0</td></tr></table>		2	2	3	1	3	+	1	4	5	0	
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2004-01-15 10:47

PATENT

MAIL STOP PATENT APPLICATION

Commissioner of Patents

PO BOX 1450

Alexandria, VA 22313-1450

Attorney Docket No. 1001.18

CERTIFICATE OF EXPRESS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" Express Mail No. ET 949 474 791 US in an envelope addressed to MAIL STOP PATENT APPLICATION; Commissioner for Patents; PO Box 1450; Alexandria, VA, 22313-1450, on the following date: January 14, 2004

Wendy KB Buskop
Wendy KB Buskop

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): **RUSSELL EARL MORRIS**

For: **METHOD FOR INTERCHANGEABLY PROMOTING A
BUSINESS ON A HAT**

1. Type of Application

This new application is for a(n):

☒ Utility application.

The present application claims priority to co-pending Provisional U.S. Patent Application Serial No 60/440,139 filed January 15, 2003.

2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

7 Pages of specification

3 Pages of claims

1 Page of abstract

4 Sheets of ☒ informal or ☐ formal drawings (FIG 1, 2, 3, and 4)

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SEP 14 -4 PM 4:47

3. **Declaration or Oath**

☒ Enclosed executed by inventor.

4. **Non-publication Request under 35 U.S.C. § 122(b)(2)(B)(i)**

☒ Enclosed and signed in compliance with 37 C.F.R. § 1.33(b).

5. **Language**

☒ English

6. **Postcard**

☒ A postcard is attached

7. **Fee Calculation (37 CFR 1.16)**

☒ Utility application

A. Number of claims as filed

18

B. Number of independent claims as filed

1

Filing Fee Calculation [$\$770 + [(A-20) \times \$18 + (B-3) \times \$86]$]

\$ 770.00

8. **Small Entity Statement(s)**

☒ Applicant claims small entity under 37 CFR § 1.27.

Filing Fee Calculation (50% of above)

\$ 335.00

9. **Fee Payment Being Made At This Time**

☒ Enclosed

☒ Basic filing fee

\$ 335.00

Total fees enclosed

\$ 335.00

STATUS AND ENTITY
BRANCH

10. **Method of Payment of Fees**

- [X] Commissioner is hereby authorized to charge the \$335.00 filing fees and any other fee deficiencies associated with this filing to Deposit Account No. 50-1313 in the name of Buskop Law Group. A duplicate copy of this transmittal is attached.

Date: January 14, 2004

Wendy Buskop
Wendy KB Buskop, Reg. No. 32,202

Send correspondence to:

Wendy K. Buskop
Buskop Law Group, P.C.
1717 St. James Place, Suite 500
Houston, Texas 770560.

STATUS AND ENTITY
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2007 MAY -4 PM 4: 47



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/757,005	01/14/2004	Russell Earl Morris	1001.18

Wendy K. Buskop
 Buskop Law Group, P.C.
 Suite 500
 1717 St. James Place
 Houston, TX 77056



CONFIRMATION NO. 3297

FORMALITIES LETTER



OC000000012407308

Date Mailed: 04/21/2004

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

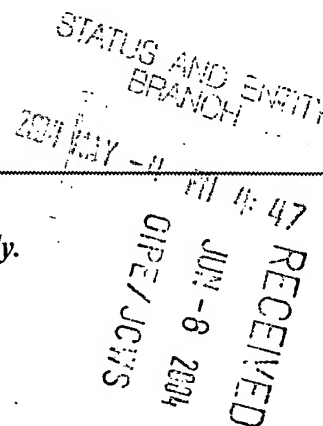
The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

A copy of this notice **MUST** be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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30 MAY -4 PM 4:48